

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q80400

Masato KOBAYAKAWA, et al.

Appln. No.: National Stage of PCT/JP2005/004138

Confirmation No.: Not yet assigned

Group Art Unit: Not yet assigned

Filed: September 5, 2006

Examiner: Not yet assigned

For: GALLIUM NITRIDE-BASED SEMICONDUCTOR DEVICE

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 C.F.R. §§ 1.97 and 1.98**

**MAIL STOP AMENDMENT**

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicants hereby notify the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith, except for the following: U.S. patents and/or U.S. patent publications; and co-pending non-provisional U.S. applications filed after June 30, 2003.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

10/591584  
IAP9 Rec'd PCT/PTO 05 SEP 2006

INFORMATION DISCLOSURE STATEMENT  
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Attorney Docket No. Q80400

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicants enclose herewith a copy of a Communication from a foreign patent office in a counterpart application citing such documents (International Search Report for PCT/JP2005/004138 dated April 26, 2005), together with an English-language version (if not already included) of at least that portion of the Communication indicating the degree of relevance found by the foreign patent office.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicants do not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account.

Respectfully submitted,



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WASHINGTON OFFICE

23373

CUSTOMER NUMBER

Date: September 5, 2006

Substitute for Form 1449 A & B/PTO

**INFORMATION DISCLOSURE  
 STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Application Number	National Stage of PCT/JP2005/004138
Confirmation Number	Not yet assigned
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First Named Inventor	Masato KOBAYAKAWA
Art Unit	Not yet assigned
Examiner Name	Not yet assigned
Attorney Docket Number	Q80400

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**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code <sup>2</sup> (if known)		
		US			
		US			
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**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)			
		JP	8-116089	A	05-07-1996	TOYODA GOSEI KK; JAPAN RES. DEV. CORP.; AKASAKI ISAMU; AMANO HIROSHI and UNIV. NAGOYA	Abstract
		JP	2000-101139	A	04-07-2000	TOKYO SHIBAURA ELECTRIC CO.	Abstract
		JP	2004-14810	A	01-15-2004	SHOWA DENKO KK	Abstract
		JP	11-177133	A	07-02-1999	ROHM CO. LTD.	Abstract
		JP	2002-203798	A	07-19-2002	MATSUSHITA ELECTRIC IND. CO. LTD.	Abstract
		JP	9-266218	A	10-07-1997	NIPPON STEEL CORP.	Abstract
		JP	11-150296	A	06-02-1999	TOKYO SHIBAURA ELECTRIC CO.	Abstract
		JP	2002-324913	A	11-08-2002	RICOH KK	Abstract
		JP	11-204887	A	07-30-1999	TOKYO SHIBAURA ELECTRIC CO.	Abstract

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation <sup>6</sup>

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov), MPEP 901.04 or follow the hyperlink from the title of the document to the intranet. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to indicate here if English language Translation is attached.